

# Affine braid group actions on derived categories of algebraic surfaces

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## 1 Autoequivalences of derived categories

Let  $X$  be a smooth projective variety over  $\mathbb{C}$ . The derived category  $D(X)$  of  $X$  is a triangulated category whose objects are bounded complexes of coherent sheaves on  $X$ . By an equivalence  $D(Y) \simeq D(X)$ , we always mean a  $\mathbb{C}$ -linear equivalence of triangulated categories.

We denote the group of isomorphism classes of autoequivalences of  $D(X)$  by  $\text{Auteq } D(X)$ .

$$\text{Auteq } D(X) := \{ \Phi : D(X) \simeq D(X) \text{ autoequivalence} \} / \cong$$

It seems natural to consider the following problem.

**Problem 1.1.** Describe  $\text{Auteq } D(X)$  for smooth projective varieties  $X$ .

We note that  $\text{Auteq } D(X)$  always contains the group

$$A(X) := (\text{Aut } X \rtimes \text{Pic } X) \times \mathbb{Z},$$

generated by functors of tensoring with invertible sheaves, automorphisms of  $X$  and the shift functor. When  $K_X$  or  $-K_X$  is ample, it is shown that  $\text{Auteq } D(X) \cong A(X)$  in [BO01]. When  $X$  is an abelian variety, Orlov solves Problem 1.1 in [Or02]. In this case,  $\text{Auteq } D(X)$  is strictly larger than  $A(X)$ .

### 1.1 Spherical objects and twist functors

The *twist functors* along *spherical objects* are autoequivalences of another kind that are not in  $A(X)$ . Let us recall the definition of them.

For an object  $\mathcal{P} \in D(X \times Y)$ , an *integral functor*

$$\Phi_{X \rightarrow Y}^{\mathcal{P}} : D(X) \rightarrow D(Y)$$

is defined by

$$\Phi_{X \rightarrow Y}^{\mathcal{P}}(-) = \mathbf{R}\pi_{Y*}(\mathcal{P} \otimes^{\mathbf{L}} \mathbf{L}\pi_X^*(-)),$$

where  $\pi_X : X \times Y \rightarrow X$  and  $\pi_Y : X \times Y \rightarrow Y$  are the projections.

**Definition 1.2** ([ST01]). (i) We say that an object  $\alpha \in D(X)$  is *spherical* if we have  $\alpha \otimes \omega_X \cong \alpha$  and

$$\text{Hom}_{D(X)}^k(\alpha, \alpha) \cong \begin{cases} 0 & k \neq 0, \dim X \\ \mathbb{C} & k = 0, \dim X. \end{cases}$$

(ii) Let  $\alpha \in D(X)$  be a spherical object. We consider the mapping cone

$$\mathcal{C} = \text{Cone}(\pi_1^* \alpha^\vee \otimes^{\mathbf{L}} \pi_2^* \alpha \rightarrow \mathcal{O}_\Delta)$$

of the natural evaluation  $\pi_1^* \alpha^\vee \otimes^{\mathbf{L}} \pi_2^* \alpha \rightarrow \mathcal{O}_\Delta$ , where  $\Delta \subset X \times X$  is the diagonal, and  $\pi_i$  is the projection of  $X \times X$  to the  $i$ -th factor. Then the integral functor  $T_\alpha := \Phi_{X \rightarrow X}^{\mathcal{C}}$  defines an autoequivalence of  $D(X)$ , called the *twist functor* along the spherical object  $\alpha$ .

By the definition of twist functors  $T_\alpha$ , for any object  $\beta \in D(X)$ , we have a triangle

$$\mathbf{R} \text{Hom}_{D(X)}(\alpha, \beta) \otimes_{\mathbb{C}}^{\mathbf{L}} \alpha \longrightarrow \beta \longrightarrow T_\alpha(\beta)$$

so that, at the level of K-theory,

$$[T_\alpha(\beta)] = [\beta] - \chi(\alpha, \beta)[\alpha].$$

*Example 1.3.* (i) Let  $X$  be a K3 surface and  $\mathcal{L}$  a line bundle on  $Z$ . Then  $\mathcal{L}$  is a spherical object of  $D(X)$ .

(ii) Let  $Z$  be the fundamental cycle of  $-2$ -curves in ADE configurations on a smooth surface  $X$  and  $\mathcal{L}$  a line bundle on  $Z$ . Then  $\mathcal{L}$  is a spherical object of  $D_Z(X)$ . Here  $D_Z(X)$  denotes the full subcategory of  $D(X)$  consisting of objects supported on  $Z$ .

## 1.2 Results in [IU04].

In our paper [IU04], we consider a chain  $Z$  of  $-2$ -curves on a smooth surface  $X$  and study the autoequivalences of the derived category  $D_Z(X)$ .

Note that the twist functor  $T_\alpha$  can be defined as long as the support of  $\alpha$  is projective, even if  $X$  is not projective. Moreover, the category  $D_Z(X)$  depends only on the formal neighborhood of  $Z$  in  $X$ . Thus we can assume as follows:

$$Y = \text{Spec } \mathbb{C}[[x, y, z]]/(x^2 + y^2 + z^{n+1})$$

is the  $A_n$ -singularity,

$$f : X \rightarrow Y$$

its minimal resolution and

$$Z = f^{-1}(P) = C_1 \cup \dots \cup C_n$$

where  $P \in Y$  is the closed point.

For an autoequivalence  $\Phi \in \text{Auteq } D_Z(X)$ , we don't know if it is always isomorphic to an integral functor. Here, an integral functor from  $D_Z(X)$  to  $D_Z(X)$  is defined by an object  $\mathcal{P} \in D(X \times X)$  whose support is projective over  $X$  with respect to each projection. If an autoequivalence is given as an integral functor, we call it a *Fourier-Mukai transform (FM transform)*. Let

$$\text{Auteq}^{\text{FM}} D_Z(X) \subset \text{Auteq } D_Z(X)$$

be the subgroup consisting of FM transforms.

We denote the dualizing sheaf on  $Z$  by  $\omega_Z$  and put

$$B := \left\langle T_{\mathcal{O}_{C_l}(-1)}, T_{\omega_Z} \mid 1 \leq l \leq n \right\rangle \subset \text{Auteq}^{\text{FM}} D_Z(X).$$

**Theorem 1.4** ([IU04]). *We have*

$$\text{Auteq}^{\text{FM}} D_Z(X) = (\langle B, \text{Pic } X \rangle \rtimes \text{Aut } X) \times \mathbb{Z}.$$

Here  $\mathbb{Z}$  is the group generated by the shift [1].

*Remark 1.5.* We know more about subgroups of  $\text{Auteq}^{\text{FM}} D_Z(X)$ , that is, we have the following:

- $B \cap \text{Pic } X = \langle \otimes \mathcal{O}_X(C_1), \dots, \otimes \mathcal{O}_X(C_n) \rangle$ .
- $\langle B, \text{Pic } X \rangle \cong B \rtimes \mathbb{Z}/(n+1)\mathbb{Z}$ .
- $B = \langle T_\alpha \mid \alpha \in D_Z(X), \text{ spherical} \rangle$ .

According to Orlov's theorem [Or97], any autoequivalence  $\Phi \in \text{Auteq } D(S)$  for a smooth *projective* variety  $S$  is isomorphic to an integral functor  $\Phi_{S \rightarrow S}^{\mathcal{P}}$  for some  $\mathcal{P} \in D(S \times S)$ . Using the Orlov's theorem, we obtain Theorem 1.6 below. The proof is similar to the one of Theorem 1.4.

**Theorem 1.6** ([IU04]). *Let  $S$  be a smooth projective surface of general type whose canonical model has  $A_n$ -singularities at worst. Then we have*

$$\text{Auteq } D(S) = \langle T_{\mathcal{O}_C(a)}, A(S) \mid C : -2\text{-curve}, a \in \mathbb{Z} \rangle.$$

## 2 Affine braid group actions and Bridgeland's stability conditions

### 2.1 Affine braid group actions on derived categories

Let us consider the situation in Theorem 1.4. Put  $\alpha_i := \mathcal{O}_{C_i}(-1)$  ( $1 \leq i \leq n$ ) and  $\alpha_0 := \alpha_{n+1} := \omega_Z$ . Then  $B$  is generated by all  $T_{\alpha_i}$ 's by the definition. The result in [ST01] implies that  $T_{\alpha_i}$ 's satisfy the following relations.

$$\begin{cases} T_{\alpha_i} T_{\alpha_{i+1}} T_{\alpha_i} \cong T_{\alpha_{i+1}} T_{\alpha_i} T_{\alpha_{i+1}} & \text{if } 0 \leq i \leq n, \\ T_{\alpha_i} T_{\alpha_j} \cong T_{\alpha_j} T_{\alpha_i} & \text{if } i - j \neq \pm 1. \end{cases}$$

In other words, the generators of  $B$  satisfy the defining relations of the affine braid group of type  $\tilde{A}_n$ , which is denoted by  $\mathcal{A}(\tilde{A}_n)$ . Therefore it follows from Theorem 1.4 that the group  $\mathcal{A}(\tilde{A}_n)$  acts on  $D_Z(X)$ .

**Conjecture 2.1** ([IU04]). *The action of the affine braid group  $\mathcal{A}(\tilde{A}_n)$  on  $D_Z(X)$  is faithful. Consequently, we have an isomorphism  $B \cong \mathcal{A}(\tilde{A}_n)$ .*

Actually we can show this conjecture is true when  $n = 1$ , namely when  $X$  is the minimal resolution of the  $A_1$ -singularity.

**Theorem 2.2** ([IU05]). *Conjecture 2.1 is true when  $n = 1$ .*

## 2.2 Bridgeland's stability conditions

Conjecture 2.1 is deeply related to the following conjecture proposed by Bridgeland.

Motivated by some physical ideas, Bridgeland considers the set of *stability conditions*  $\text{Stab } \mathcal{D}$  on a given triangulated category  $\mathcal{D}$  ([Br02]). He also shows that  $\text{Stab } \mathcal{D}$  has a natural topology and that it is a complex manifold. We call  $\text{Stab } \mathcal{D}$  *the space of stability conditions on  $\mathcal{D}$* .

Let us consider below the space of stability conditions on  $D_Z(X)$ , where  $X$  and  $Z$  are as in Theorem 1.4.

**Conjecture 2.3 (cf. [Br03]).**  *$\text{Stab } D_Z(X)$  is simply connected and connected.*

Our interesting observation is as follows.

**Proposition 2.4 ([IU05]).** *Assume that  $\text{Stab } D_Z(X)$  is connected. Then Conjecture 2.1 holds precisely when Conjecture 2.3 holds.*

Combining Theorem 2.2 with Proposition 2.4, we conclude that  $\text{Stab } D_Z(X)$  is simply connected when  $\text{Stab } D_Z(X)$  is connected and  $n = 1$ .

## References

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